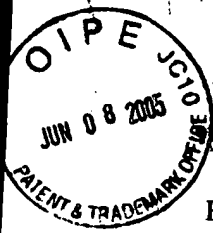


06-10-05

DEPREF

Express Mail No. EV 534 877 436 US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Shiau et al.

Serial No.: 09/830,693

Filed: March 30, 1999  
(\$ 371 date: January 29, 2002)

For: METHODS AND  
COMPOUNDS FOR  
MODULATING NUCLEAR  
RECEPTOR ACTIVITY

Confirmation No.: 9894

Art Unit: 1652

Examiner: Nashed, Nashaat

Attorney Docket No.: 61040-0013-US

REQUEST FOR REFUND UNDER 37 C.F.R. §§ 1.26 and 1.28(a)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

BEST AVAILABLE COPY

Sir:

Applicants hereby request a refund of 50% of the claim fees of \$250.00 charged on March 9, 2005 to Morgan, Lewis & Bockius LLP Deposit Account No. 50-0310 (order no. 61040-0013-US) in connection with the above-identified patent application.

Small entity status, pursuant to 37 C.F.R. § 1.27(c)(1), is being established on even date herewith in the above-identified application.

Pursuant to 37 C.F.R. § 1.28(a), a request for refund of fees paid in excess of the small entity amount is permissible where such request is made on or before 3 months from the payment of the excess fee and where small entity status is established on or before such time. In the instant application, such a request for a refund of claim fees authorized on March 9, 2005 is timely if made on or before June 9, 2005.

Accordingly, please refund 50% of the claims fee paid on March 9, 2005, by crediting \$125.00 to Morgan Lewis & Bockius LLP Deposit Account No. 50-0310 (order no. 61040-0013-US). A duplicate of this sheet is enclosed.

Respectfully submitted,

Date: June 8, 2005

Richard G. A. Bone  
Richard G. A. Bone

56,637  
(Reg. No.)

MORGAN, LEWIS & BOCKIUS LLP  
2 Palo Alto Square  
3000 El Camino Real, Suite 700  
Palo Alto, California 94306  
Tel. (650) 843-4000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Shiau et al.

Confirmation No.: 9894

Serial No.: 09/830,693

Art Unit: 1652

Filed: March 30, 1999  
(§ 371 date: January 29, 2002)

Examiner: Nashed, Nashaat

or: METHODS AND COMPOUNDS  
FOR MODULATING NUCLEAR  
RECEPTOR ACTIVITY

Attorney Docket No.: 61040-0013-US



ASSERTION OF ENTITLEMENT TO SMALL ENTITY STATUS  
UNDER 37 C.F.R. § 1.27(c)(1)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

BEST AVAILABLE COPY

Dear Sir:

Applicants hereby assert, under 37 C.F.R. § 1.27(c)(1), entitlement to small entity status in the above-identified patent application, for the purpose of paying reduced fees in connection therewith.

Applicants also respectfully point out the request for a refund under 37 C.F.R. §§ 1.26 and 1.28(a) being filed concurrently herewith.

Applicants believe that no fee is due with this communication. However, if it is determined that a fee is due, please charge the required fee to Morgan, Lewis & Bockius LLP Deposit Account No. 50-0310 (order no. 61040-0013-US). A copy of this sheet is enclosed for this purpose.

Respectfully submitted,

Date: June 8, 2005

By:

*Richard G. A. Bone*

Richard G. A. Bone

56,637

Reg. No.

for Thomas D. Kohler  
Morgan Lewis & Bockius LLP  
2 Palo Alto Square  
3000 El Camino Real, Suite 700  
Palo Alto, CA 94306  
(650) 843-4000

(32,797)



3-11-05

OPW 1631 \$

Express Mail No. EV 534 876 705 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Shiau, et al. Confirmation No.: 9894  
Serial No. 09/830,693 Art Unit: 1631  
Filed: March 30, 1999 Examiner: Nashed, Nashaat  
(§ 371 date January 29, 2002)  
For: METHODS AND COMPOUNDS Attorney Docket: 61040-0013-US  
FOR MODULATING NUCLEAR  
RECEPTOR ACTIVITY

AMENDMENT AND RESPONSE UNDER 37 C.F.R. § 1.111

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

BEST AVAILABLE COPY

Dear Sir:

In response to the Office Action mailed June 25, 2004 for the above identified patent application, and in accordance with 37 C.F.R. § 1.143, please consider and enter the following amendment and remarks prior to prosecution on the merits.

Applicants submit concurrently herewith: (a) a petition for reconsideration of restriction requirement; (b) a petition for use of color drawings under 37 C.F.R. § 1.84(a)(2); (c) a petition from requirement for restriction under 37 C.F.R. § 1.144; and (d) a fee sheet.

Amendments to the specification start at page 2 of this response.

Amendments to the claims start at page 4 of this response. This listing of claims will replace all prior versions and listings of claims in the application.

Remarks start at page 25 of this response.

1/15/2005 AWONDAF1 00000079 500310 09830693

1 FC:1202 250.00 DA

Adjustment Date: 07/22/2005 SDIRETA1  
03/15/2005 AWONDAF1 00000079 500310 09830693  
01 FC:1202 250.00 CR

1-PA/3535325.1

07/22/2005 SDIRETA1 00000054 500310 09830693  
01 FC:2202 125.00 DA